

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Isao OTA et al.

Group Art Unit: 1755

Application No.: Rule 53(b) Continuation of
Application No. 09/980,685

Examiner: M. Marcheschi

Filed: October 6, 2003

Docket No.: 111398.01

For: ABRASIVE COMPOUND FOR GLASS HARD DISK PLATTER

INFORMATION DISCLOSURE STATEMENT

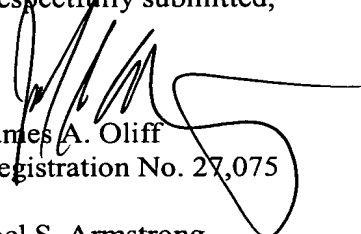
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. The references were cited by or submitted to the Office in parent application No. 09/980,685, filed December 7, 2001, which is relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 CFR §1.98(d).

Respectfully submitted,


James A. Oliff
Registration No. 27,075

Joel S. Armstrong
Registration No. 36,430

JAO:JSA/ldg

Date: October 6, 2003

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400

<p>DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p>

Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 111398.01		APPLICATION NO. Rule 53(b) Continuation of Application No. 09/980,685	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT(S) Isao OTA et al.			
				FILING DATE December 7, 2001		GROUP 1755	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
	1	5,772,780	6/1998	Homma et al.			
	2	5,783,489	7/1998	Kaufman et al.			
	3	5,543,126	8/1996	Ota et al.			
	4	6,221,118	4/2001	Yoshida et al.			
	5	6,120,571	9/2000	Aihara et al.			
	6	6,454,821	9/2002	Abbasi et al.			
	7	6,478,836	11/2002	Kido et al.			
	8	6,343,976	2/2002	Yoshida et al.			
	9	6,420,269	7/2002	Matsuzawa et al.			
	10	6,372,003	4/2002	Kasai et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
EXAMINER					DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Date: October 6, 2003

Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 111398		APPLICATION NO. Rule 53(b) Continuation of Application No. 09/980,685	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT(S) Isao OTA et al.			
				FILING DATE December 7, 2001		GROUP 1755	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
	11	JP A 5-326469	12/1993	Japan			
	12	JP B2 2864451	12/1998	Japan			
	13	JP A 11-60282	03/1999	Japan			
	14	WO 98/21289	05/1998	WIPO			
	15	WO 99/31195	06/1999	WIPO			
	16	JP A 8-3541	01/1996	Japan			
	17	JP A 9-142840	06/1997	Japan			
	18	JP A 8-134435	05/1996	Japan			
	19	JP A 2000-38572	02/2000	Japan			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
EXAMINER					DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Date: October 6, 2003